

## CYBERNETICS

### ALGEBRAICALLY DEGENERATE APPROXIMATIONS OF BOOLEAN FUNCTIONS

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**Abstract.** Properties of  $k$ -dimensional approximations of Boolean functions are investigated. One of main results is the theorem on the structure of  $k$ -dimensional functions whose degree equals  $d$  and whose distance from a given Boolean function of  $n$  variables is no longer than  $2^{n-d}(1-\varepsilon)$ ,  $1 \leq d \leq k \leq n$ ,  $\varepsilon \in (0, 1)$ . This theorem considerably strengthens the well-known P. Gopalan result and makes it possible to considerably increase the efficiency of his algorithm for constructing all the mentioned  $k$ -dimensional Boolean functions.

**Keywords:** correlation cryptanalysis, degenerate Boolean function,  $k$ -dimensional function, Walsh–Hadamard transform, finding  $k$ -dimensional approximations of Boolean functions.

#### INTRODUCTION

A Boolean function  $g: \{0, 1\}^n \rightarrow \{0, 1\}$  ( $0 \leq k \leq n$ ) is called  $k$ -dimensional [1, 2] if there are a function  $\varphi: \{0, 1\}^k \rightarrow \{0, 1\}$  and an  $n \times k$  matrix  $A$  over a field consisting of two elements such that, for any  $x \in \{0, 1\}^n$ , the equality  $g(x) = \varphi(xA)$  holds true. The function  $g$  is called algebraically degenerate if it is  $k$ -dimensional for some  $k < n$  and nondegenerate otherwise [3–5].

First results on correlation properties of algebraically degenerate Boolean functions were obtained in the 70s of the last century [3]. At present, interest in the investigation of these functions is conditioned by problems of cryptanalysis and coding theory. We note that [6–8] describe a number of attacks on key stream generators of stream ciphers whose complication functions are algebraically degenerate or are close to such functions.

We denote by  $B_{n,k}$  the set of all  $k$ -dimensional Boolean functions of  $n$  variables. In [5], approximations of Boolean functions by functions from the set  $B_{n,n-1}$  are investigated; in particular, the distance between an arbitrary function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  and the set of algebraically degenerate functions of  $n$  variables is found, a method for finding functions closest to  $f$  in the set  $B_{n,n-1}$  is specified, and estimates for their orders are obtained (in [4, 5], the order of degeneracy of a function  $g \in B_{n,n-1}$  is understood to be the largest number  $n-k$  for which  $g$  is a  $k$ -dimensional function).

In [1, 2, 9–11],  $k$ -dimensional approximations of Boolean functions are investigated for all possible values of  $k$  and, in [2], functions over an arbitrary finite field are considered.

In [1], a probabilistic algorithm is proposed for the recognition of the property of  $k$ -dimensionality. For any function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  specified with the help of an oracle and numbers  $k \in \{0, 1, \dots, n-1\}$  and  $\varepsilon \in (0, 1)$ , this algorithm allows one to test the hypothesis  $H_0: f \in B_{n,k}$  on the following alternative  $H_1: f$  is at the distance that is no longer than  $2^n \varepsilon$  from the set of  $k$ -dimensional functions after executing  $O(n2^{2k} k \varepsilon^{-1})$  binary operations. A more efficient test of  $k$ -dimensionality whose complexity equals  $O(n2^k k^2 \varepsilon^{-1})$  binary operations is proposed in [10].

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To construct efficient attacks on symmetric cryptosystems,  $k$ -dimensional functions should be found that are rather close to a given function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ . Here, of greatest interest is the case when  $f$  is specified with the help of an oracle,  $n$  is large (for example,  $n \geq 64$ ), and  $k$  is small (is fixed or slowly increases with increasing  $n$ ). The efficiency of solving this problem appreciably depends on the distance between the function  $f$  and its sought-for approximations.

Let  $g$  be a  $k$ -dimensional function of  $n$  variables whose distance from the function  $f$  is no longer than  $2^{n-(k+1)}(1-\varepsilon)$ ,  $\varepsilon \in (0, 1)$  (note that the function  $g$  is uniquely determined by this condition). In [1], a probabilistic algorithm is proposed that makes it possible to determine  $g$  from given  $f$ ,  $k$ , and  $\varepsilon$  with probability no less than  $1-\delta$ ,  $\delta \in (0, 1)$ , using  $O(2^{4k} n^2 \varepsilon^{-2} \log(2^{2k} n \delta^{-1}))$  binary operations. In [11], another algorithm is presented whose binary complexity is equal to  $O(2^{2k} k^{-2} n^3 \varepsilon^{-2} \delta^{-1} \log(2^{2k} k^{-1} n \delta^{-1} \varepsilon^{-1}))$ .

The problem of definition of all functions  $g \in B_{n,k}$  that are at a distance that does not exceed  $2^{n-k}(1-\varepsilon)$ ,  $\varepsilon \in (0, 1)$ , from a given function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  is more difficult. A considerable contribution to its solution was made in [2], which is devoted to the investigation of  $k$ -dimensional approximations of functions of  $n$  variables over an arbitrary finite field. One of main results of the work is a theorem describing the structure of  $k$ -dimensional functions whose degree is no higher than  $d$  over a field  $\mathbf{GF}(q)$  and whose distance from a given function  $f: \mathbf{GF}(q)^n \rightarrow \mathbf{GF}(q)$  is no longer than  $\delta_q(d)(1-\varepsilon)$ , where  $\delta_q(d)$  is the minimal distance of the Reed–Muller code  $\mathbf{RM}_q(n, d)$ ,  $1 \leq d \leq k$ ,  $\varepsilon \in (0, 1)$  (see [2, Par. 4]). The present article proves a theorem essentially strengthening this result for the case of Boolean functions. Several statements about properties of  $k$ -dimensional approximations of Boolean functions are also obtained that supplement and refine some results of [5, 9].

## DEFINITION OF BASIC CONCEPTS AND SOME AUXILIARY RESULTS

We denote by  $V_n$  the set of binary vectors of length  $n$ . This set is a vector space of dimension  $n$  over the field  $F = \mathbf{GF}(2)$  (when  $n = 0$ , we assume that  $V_0 = \{0\}$ ). The sum of vectors  $\alpha = (\alpha_1, \dots, \alpha_n)$ ,  $x = (x_1, \dots, x_n) \in V_n$  is found by the formula  $\alpha \oplus x = (\alpha_1 \oplus x_1, \dots, \alpha_n \oplus x_n)$  and the Boolean scalar product is found by the formula  $\alpha x = \alpha_1 x_1 \oplus \dots \oplus \alpha_n x_n$  (here and below, the symbol  $\oplus$  denotes the operation of addition of elements of the field  $F$  and vectors over this field).

Hereafter, the following notations are used:  $\#M$  is the cardinality of a set  $M$ ;  $\langle M \rangle$  is the subspace generated by a set  $M \subseteq V_n$ ;  $F_{n \times k}$  is the set of matrices of size  $n \times k$  over the field  $F$ ;  $C(A)$  is the subspace generated by columns of a matrix  $A \in F_{n \times k}$  in the vector space  $V_n$ .

For any set  $M \subseteq V_n$ , we denote by  $M^\perp$  the following subspace dual to  $M$ :  $M^\perp = \{\alpha \in V_n \mid \forall x \in M: \alpha x = 0\}$ ; for any  $a, b \in \mathbf{Z}_n$  we put  $\overline{a, b} = \{i \in \mathbf{Z}_n: a \leq i \leq b\}$ .

We denote by  $B_n$  the set of Boolean functions of  $n$  variables. The relative distance between functions  $f, g \in B_n$  is determined by the formula  $d(f, g) = 2^{-n} \# \{x \in V_n: f(x) \neq g(x)\}$ , and the relative distance from a function  $f \in B_n$  to a set  $U \subseteq B_n$  is found by the formula  $d(f, U) = \min_{g \in U} d(f, g)$ . The number  $\text{wt}(f) = 2^{-n} \# \{x \in V_n: f(x) = 1\}$  is called the relative weight of a function  $f \in B_n$ . A Boolean function is called equilibrated if its relative weight is equal to  $1/2$ .

Denote by  $\text{deg } f$  the degree of the Zhegalkin polynomial of a function  $f \in B_n$ . The proof of the following lemma can be found in [12, Theorem 5.5].

**LEMMA 1.** Let  $f \in B_n \setminus \{0\}$ . Then  $\text{wt}(f) \geq 2^{-\text{deg } f}$ .

For any function  $f \in B_n$ , we put

$$\hat{f}(\alpha) = 2^{-n} \sum_{x \in V_n} (-1)^{f(x) \oplus \alpha x}, \quad \alpha \in V_n, \quad (1)$$

$$D_\alpha f(x) = f(x \oplus \alpha) \oplus f(x), \quad x \in V_n, \quad (2)$$

$$I_f = \{\alpha \in V_n: D_\alpha f \equiv 0\}.$$

The numbers in formula (1) are called Walsh–Hadamard normalized coefficients of the function  $f$ , and function (2) is called its directional derivative along a direction  $\alpha \in V_n$  [12].